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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | | |
|--|----------------------|------------------------|----------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/731,127 | |
| | Filing Date | December 6, 2000 | |
| | First Named Inventor | Donald J. Berk | |
| | Group Art Unit | 2151 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 10 | Attorney Docket Number | BERK 100 |

| ENCLOSURES (check all that apply) | | | | |
|--|--|---|---------|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): | | |
| <table border="1"><tr><td>Remarks</td><td>1. Declaration 2. Part 2 of Notice To File Missing Parts. 3. Check #4504 in the amount of \$65.00 is enclosed for the Declaration surcharge fee. 4. Cited References</td></tr></table> | | | Remarks | 1. Declaration 2. Part 2 of Notice To File Missing Parts. 3. Check #4504 in the amount of \$65.00 is enclosed for the Declaration surcharge fee. 4. Cited References |
| Remarks | 1. Declaration 2. Part 2 of Notice To File Missing Parts. 3. Check #4504 in the amount of \$65.00 is enclosed for the Declaration surcharge fee. 4. Cited References | | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|-------------------------|
| Firm or Individual name | John R. Lagowski |
| Signature | <i>John R. Lagowski</i> |
| Date | February 9, 2001 |

| CERTIFICATE OF MAILING | | | |
|---|-----------------------------|------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 9, 2001 | | | |
| Typed or printed name | Christine A. Barglik | | |
| Signature | <i>Christine A. Barglik</i> | Date | February 9, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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Patent fees are subject to annual revision

| | |
|--------------------------------|-------------------|
| TOTAL AMOUNT OF PAYMENT | (\$) 65.00 |
|--------------------------------|-------------------|

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/731,127 |
| Filing Date | Decemger 6, 2000 |
| First Named Inventor | Donald J. Berk |
| Examiner Name | |
| Group Art Unit | 2151 |
| Attorney Docket No. | BERK 100 |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
-
2. ☒ **Payment Enclosed:**
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

| | |
|---------------------|-------------|
| SUBTOTAL (1) | (\$) |
|---------------------|-------------|

2. EXTRA CLAIM FEES

| | | Extra Claims | | Fee from below | Fee Paid |
|--------------------|----------------------|--------------|----------------------|----------------|----------------------|
| Total Claims | <input type="text"/> | -20** = | <input type="text"/> | X | <input type="text"/> |
| Independent Claims | <input type="text"/> | -3** = | <input type="text"/> | X | <input type="text"/> |
| Multiple Dependent | | | | | <input type="text"/> |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

| | |
|---------------------|-------------|
| SUBTOTAL (2) | (\$) |
|---------------------|-------------|

****or number previously paid, if greater; For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 65.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | | | |

| | | | |
|-----------------------------------|---------------------|------|-------|
| *Reduced by Basic Filing Fee Paid | SUBTOTAL (3) | (\$) | 65.00 |
|-----------------------------------|---------------------|------|-------|

SUBMITTED BY

| | |
|-------------------|------------------|
| Name (Print/Type) | John R. Lagowski |
|-------------------|------------------|

| | |
|--------------------------------------|--------|
| Registration No. (Attorney/Agent) | 41,922 |
|--------------------------------------|--------|

Complete (if applicable)

| | |
|------------------|----------------|
| Telephone | (312) 236-8500 |
|------------------|----------------|

Signature

John Lapointe

| | |
|-------------|------------------|
| <i>Date</i> | February 9, 2001 |
|-------------|------------------|

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Sector #
#4

PATENT
Attorney Docket No. BERK 100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Donald J. Berk

Serial No.: 09/731,127

Filed: December 6, 2000

Examiner:

Art Unit: 2521

For: METHOD AND APPARATUS FOR
ELECTRONICALLY UPDATING PRINTED
PUBLICATIONS

) I hereby certify that this correspondence
) is being deposited with the United States
) Postal Service as first class mail in an
) envelope addressed to:

) Commissioner for Patents
) Washington, D.C. 20231 on:

) on February 9, 2001

Date: February 9, 2001

Box: Missing Parts
Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS

Dear Sir:

This is in response to the Notice To File Missing Parts dated January 29, 2001, in the above-identified application.

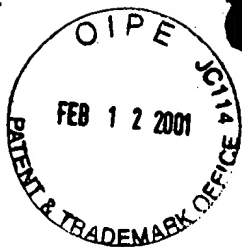
X Enclosed is a Power of Attorney authorization to the undersigned.

Declaration or Oath

X Enclosed is the original declaration or oath for this application since the declaration or oath was not filed with the application.

Assignment

____ Enclosed is an assignment of the invention to:



Fees

X Surcharge for filing the oath or declaration on a date later than the filing date of the application \$ 65.00

___ Assignment recordal fee _____

TOTAL \$ 65.00

Payment of Fees

X Enclosed is a check in the amount of \$65.00.

___ Charge Account No. 50/1039 in the amount of _____. A duplicate of this request is attached.

Authorization to Charge Additional Fees

X The Commissioner is hereby authorized to charge any additional fees which may be required by this paper and during the pendency of this application to Account No. 50/1039.

X Filing fees.

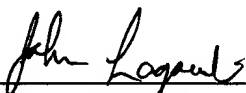
X Presentation of extra claims.

X Extension of time

X Application processing fees.

X Any processing fees required.

Date: February 9, 2001



John R. Lagowski
Registration No.: 41,922

COOK, ALEX, McFARRON, MANZO,
CUMMINGS & MEHLER, LTD.
200 West Adams Street - Suite 2850
Chicago, Illinois 60606
(312) 236-8500



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/731,127 | 12/06/2000 | Donald J. Berk | BERK 100 |

Cook, Alex, McFarron, Manzo,
Cummings & Mehler, Ltd.
Suite #2850
200 West Adams Street
Chicago, IL 60606



CONFIRMATION NO. 9205

FORMALITIES LETTER



OC000000005712926

Date Mailed: 01/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/15/2001 BNGUYEN1 00000030 09731127

01 FC:205

65.00 OP